

IN THE UNITED STATES PATENT AND TRADEMARKS OFFICE

In re patent application

Serial No. : 10/043,344  
 Filed : January 14, 2002  
 Applicant : Sheena M. Loosmore, et al.  
 Title : TRANSFERRIN RECEPTOR GENES  
 Docket No. : 1038-1221 MIS:sd

*RECEIVED  
DEC 30 2003  
LICENSING & REVIEW*

May 28, 2002

DECLARATION

Sir:

We, Sheena M LOOSMORE.; Robin E. HARKNESS; Anthony B. SCHRYVERS; Pele  
~~GRAY OWEN~~  
 CHONG; Scott D. ~~GREY OWEN~~; Yan-Ping YANG; Andrew D. MURDIN; and Michel H.  
 KLEIN.

citizens of Canada, Canada, Canada, Canada, Canada, Canada, British, Canada  
 residing at 70 Crawford Rose Drive, Aurora, Ontario, Canada, L4G 4R4; Apt. #1706,  
 640 Sheppard Avenue East, Willowdale, Ontario, Canada, M2K 1B8; 39 Edforth Road,  
 N.W., Calgary, Alberta, Canada, T3A 3V8; 32 Estoril Street, Richmond Hill, Ontario,  
~~1283 Valerie Crescent, Oakville, Ontario L6J 7E7~~  
~~Canada, L4C 0B9; 2210-142 Silvergrove Drive N.W., Calgary, Alberta, Canada, T3B~~

~~5H4, Apt. #1709, 120 Torresdale Avenue, Willowdale, Ontario, Canada, M2R 3N7; 146~~  
 Rhodes Circle, Newmarket, Ontario, Canada, L3X 1V2; 54 Strathgowan Avenue,  
 Toronto, Ontario, Canada, M4N 1B9

declare:

- 2 -

1. That we made and conceived the invention described and claimed in Patent Application Number 10/043,344 filed in the United States of America on January 14, 2002 titled TRANSFERRIN RECEPTOR GENES
2. That we made and conceived this invention while employed by Aventis Pasteur Limited. That the invention is related to the work we are employed to perform and was made within the scope of our employment duties; That the invention was made during working hours and with the use of facilities, equipment, materials, funds, information and services of Aventis Pasteur Limited.
3. That to the best of our knowledge and belief:  
The invention was not made or conceived in the course of, or in connection with, or under the terms of any contract, subcontract or arrangement entered into with or for the benefit of the United States Atomic Energy Commission or its successors: Energy Research and Development Administration or the Department of Energy.  
**AND**  
The invention was not made (conceived or first actually reduced to practice) under nor is there any relationship of the invention to the performance of any work under any contract of the National Aeronautics and Space Administration.
4. The undersigned inventor(s) declare further that all statements made herein of his or her (their) own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements

- 3 -

are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Inventor's Name: Sheena M. Loosmore on behalf of Pele Chong

Inventor's Signature: \_\_\_\_\_

Post Office Address: 70 Crawford Rose Drive, Aurora, Ontario, Canada, L4G 4R4

Date: \_\_\_\_\_

Inventor's Name: Robin E. Harkness on behalf of Pele Chong

Inventor's Signature: \_\_\_\_\_

Post Office Address: Apt. #1706, 640 Sheppard Avenue East, Willowdale, Ontario, Canada M2K 1B8

Date: \_\_\_\_\_

Inventor's Name: Anthony B. Schryvers on behalf of Pele Chong

Inventor's Signature: \_\_\_\_\_

Post Office Address: 39 Edforth Road, N.W., Calgary, Alberta, Canada, T3A 3V8

Date: \_\_\_\_\_

- 4 -

Inventor's Name: Pele Chong

Inventor's Signature: \_\_\_\_\_

Post Office Address: 32 Estoril Street, Richmond Hill, Ontario, Canada, L4C 0B8

Date: \_\_\_\_\_

*Gray-Owen*

Inventor's Name: Scott D. Grey-Owen on behalf of Pele Chong

Inventor's Signature: \_\_\_\_\_

Post Office Address: 2210-142 Silvergrove Drive N.W., Calgary, Alberta, Canada, T3B

5H4

Date: June 3, 2002

Inventor's Name: Yan-Ping Yang on behalf of Pele Chong

Inventor's Signature: \_\_\_\_\_

Post Office Address: 120 Torresdale Avenue, Willowdale, Ontario, Canada, M2R 3N7

Date: \_\_\_\_\_

Inventor's Name: Andrew D. Murdin on behalf of Pele Chong

Inventor's Signature: \_\_\_\_\_

Post Office Address: 146 Rhodes Circle, Newmarket, Ontario, Canada, L3X 1V2

Date: \_\_\_\_\_

- 5 -

Inventor's Name: Michel H. Klein on behalf of Pele Chong

Inventor's Signature: \_\_\_\_\_

Post Office Address: 54 Strathgowan Avenue, Toronto, Ontario, Canada, M4N 1B9

Date: \_\_\_\_\_

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 HMF (S2)  
 1/6/04

May 28, 2002

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**LICENSING & REVIEW**

Okey

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Inventor's Signature: \_\_\_\_\_

Post Office Address: 70 Crawford Rose Drive, Aurora, Ontario, Canada, L4G 4R4

Date: \_\_\_\_\_

Inventor's Name: Robin E. Harkness on behalf of Pele Chong

Inventor's Signature: \_\_\_\_\_

Post Office Address: Apt. #1706, 640 Sheppard Avenue East, Willowdale, Ontario,

Canada M2K 1B8

Date: \_\_\_\_\_

Inventor's Name: Anthony B. Schryvers on behalf of Pele Chong

Inventor's Signature: Anthony B. Schryvers

Post Office Address: 39 Edforth Road, N.W., Calgary, Alberta, Canada, T3A 3V8

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Inventor's Name: Pele Chong

Inventor's Signature: \_\_\_\_\_

Post Office Address: 32 Estoril Street, Richmond Hill, Ontario, Canada, L4C 0B8

Date: \_\_\_\_\_

Inventor's Name: Scott D. Grey-Owen on behalf of Pele Chong

Inventor's Signature: \_\_\_\_\_

Post Office Address: 2210-142 Silvergrove Drive N.W., Calgary, Alberta, Canada, T3B  
5H4

Date: \_\_\_\_\_

Inventor's Name: Yan-Ping Yang on behalf of Pele Chong

Inventor's Signature: \_\_\_\_\_

Post Office Address: 120 Torresdale Avenue, Willowdale, Ontario, Canada, M2R 3N7

Date: \_\_\_\_\_

Inventor's Name: Andrew D. Murdin on behalf of Pele Chong

Inventor's Signature: \_\_\_\_\_

Post Office Address: 146 Rhodes Circle, Newmarket, Ontario, Canada, L3X 1V2

Date: \_\_\_\_\_

- 5 -

Inventor's Name: Michel H. Klein on behalf of Pele Chong

Inventor's Signature: \_\_\_\_\_

Post Office Address: 54 Strathgowan Avenue, Toronto, Ontario, Canada, M4N 1B9

Date: \_\_\_\_\_

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EVENTIS PASTEUR

 002IN THE UNITED STATES PATENT AND TRADEMARKS OFFICE

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May-31-02 10:51am From-SIM MCBURNEY

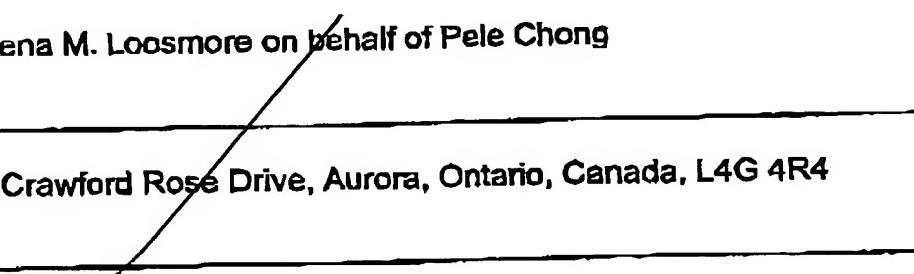
4165851163

T-470 P.05/07 F-351

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Inventor's Name: Sheena M. Loosmore on behalf of Pele Chong

Inventor's Signature: 

Post Office Address: 70 Crawford Rose Drive, Aurora, Ontario, Canada, L4G 4R4

Date: \_\_\_\_\_

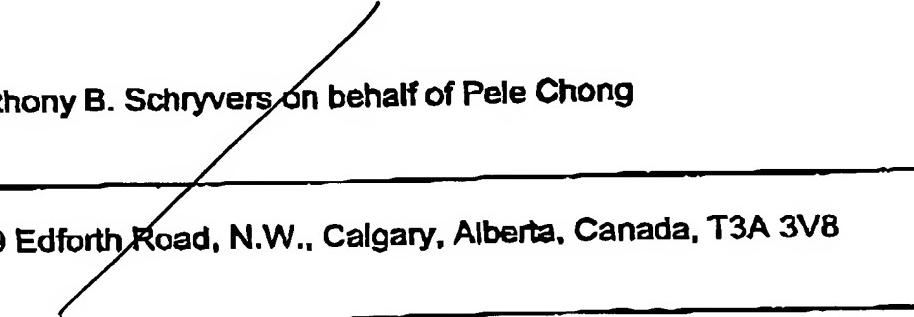
Inventor's Name: Robin E. Harkness on behalf of Pele Chong

Inventor's Signature: 

Post Office Address: Apt. #1706, 640 Sheppard Avenue East, Willowdale, Ontario, Canada M2K 1B8

Date: June 3, 2002

Inventor's Name: Anthony B. Schryvers on behalf of Pele Chong

Inventor's Signature: 

Post Office Address: 39 Edforth Road, N.W., Calgary, Alberta, Canada, T3A 3V8

Date: \_\_\_\_\_

06/03/02 09:04 FAX 416 667 2459

AVENTIS PASTEUR

 005

- 4 -

Inventor's Name: Pele Chong

Inventor's Signature: \_\_\_\_\_

Post Office Address: 32 Estoril Street, Richmond Hill, Ontario, Canada, L4C 0B8

Date: \_\_\_\_\_

Inventor's Name: Scott D. Grey-Owen

Inventor's Signature: \_\_\_\_\_

Post Office Address: 2210-142 Silvergrove Drive N.W., Calgary, Alberta, Canada, T3B

5H4

Date: \_\_\_\_\_

Inventor's Name: Yan-Ping Yang

Inventor's Signature: \_\_\_\_\_

Post Office Address: 120 Torresdale Avenue, Willowdale, Ontario, Canada, M2R 3N7

Date: \_\_\_\_\_

Inventor's Name: Andrew D. Murdin

Inventor's Signature: \_\_\_\_\_

Post Office Address: 146 Rhodes Circle, Newmarket, Ontario, Canada, L3X 1V2

Date: \_\_\_\_\_

06/04/02 12:29 FAX 703 834 1996

HILTON WASHINGTON DULLES

006

06/03/02 09:04 FAX 416 667 2459

AVENTIS PASTEUR

006

- 5 -

Inventor's Name: Michel H. Klein

Inventor's Signature: \_\_\_\_\_

Post Office Address: 54 Strathgowan Avenue, Toronto, Ontario, Canada, M4N 1B9

Date: \_\_\_\_\_

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Inventor's Name: Sheena M. Loosmore on behalf of Pele Chong

Inventor's Signature: Sheena M. Loosmore on behalf of Pele Chong

Post Office Address: 70 Crawford Rose Drive, Aurora, Ontario, Canada, L4G 4R4

Date: May 31, 2002

Inventor's Name: Robin E. Harkness on behalf of Pele Chong

Inventor's Signature: \_\_\_\_\_

Post Office Address: Apt. #1706, 640 Sheppard Avenue East, Willowdale, Ontario, Canada M2K 1B8

Date: \_\_\_\_\_

Inventor's Name: Anthony B. Schryvers on behalf of Pele Chong

Inventor's Signature: \_\_\_\_\_

Post Office Address: 39 Edforth Road, N.W., Calgary, Alberta, Canada, T3A 3V8

Date: \_\_\_\_\_

- 4 -

Inventor's Name: Pele Chong

Inventor's Signature: \_\_\_\_\_

Post Office Address: 32 Estoril Street, Richmond Hill, Ontario, Canada, L4C 0B8

Date: \_\_\_\_\_

Inventor's Name: Scott D. Grey-Owen on behalf of Pele Chong

Inventor's Signature: \_\_\_\_\_

Post Office Address: 2210-142 Silvergrove Drive N.W., Calgary, Alberta, Canada, T3B

5H4

Date: \_\_\_\_\_

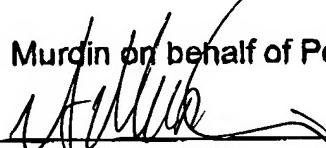
Inventor's Name: Yan-Ping Yang on behalf of Pele Chong

Inventor's Signature: 

Post Office Address: 120 Torresdale Avenue, Willowdale, Ontario, Canada, M2R 3N7

Date: June 3. 2002

Inventor's Name: Andrew D. Murdin on behalf of Pele Chong

Inventor's Signature: 

Post Office Address: ~~446 Rhodes Circle, Newmarket, Ontario, Canada, L3X 1V2~~

*11 Forest Hill Drive, Richmond Hill,  
Ontario, Canada, L4B 3C2*

Date: 3<sup>rd</sup> June 2002

- 5 -

Inventor's Name: Michel H. Klein on behalf of Pele Chong

Inventor's Signature: \_\_\_\_\_

Post Office Address: 54 Strathgowan Avenue, Toronto, Ontario, Canada, M4N 1B9

Date: \_\_\_\_\_

06/04/02 12:29 FAX 703 834 1896

HILTON WASHINGTON DULLES

007

06/03/02 09:04 FAX 416 667 2459

AVENTIS PASTEUR

007

May-31-02 10:50am From SIM MCBURNEY

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T-470 P.03/07 F-951



IN THE UNITED STATES PATENT AND TRADEMARKS OFFICE

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declare:

06/04/02 12:30 FAX 703 834 1996

HILTON WASHINGTON DULLES

008

06/03/02 09:04 FAX 416 667 243

AVENTIS PASTEUR

008

May 31-02, 10:51am From SIM MCBURNEY

4166851169

T-478 P.04/07 F-951

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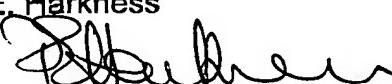
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HILTON WASHINGTON DULLES

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06/03/02 09:04 FAX 416 867 245

AVENTIS PASTEUR

010

May-31-02 10:51am From-SIM MCBURNEY

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T-479 P-06/07 F-951

- 4 -

Inventor's Name: Pele Chong

Inventor's Signature: \_\_\_\_\_

Post Office Address: 32 Estaril Street, Richmond Hill, Ontario, Canada, L4C 0B8

Date: \_\_\_\_\_

Inventor's Name: Scott D. Grey-Owen on behalf of Pele Chong

Inventor's Signature: \_\_\_\_\_

Post Office Address: 2210-142 Silvergrove Drive N.W., Calgary, Alberta, Canada, T3B

5H4

Date: \_\_\_\_\_

Inventor's Name: Yan-Ping Yang on behalf of Pele Chong

Inventor's Signature: \_\_\_\_\_

Post Office Address: 120 Tonnesdale Avenue, Willowdale, Ontario, Canada, M2R 3N7

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Inventor's Name: Andrew D. Murdin on behalf of Pele Chong

Inventor's Signature: \_\_\_\_\_

Post Office Address: 146 Rhodes Circle, Newmarket, Ontario, Canada, L3X 1V2

Date: \_\_\_\_\_

06/04/02 12:30 FAX 703 834 1896

HILTON WASHINGTON DULLES

011

06/03/02 09:05 FAX 416 667 245

AVENTIS PASTEUR

011

May-31-02 10:00 AM FROM AIMS INDUSTRIAL

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T-470 P.07/07 F-351

- 5 -

Inventor's Name: Michel H. Klein on behalf of Pele Chong

Inventor's Signature: \_\_\_\_\_

Post Office Address: 54 Strathgowan Avenue, Toronto, Ontario, Canada, M4N 1B9

Date: \_\_\_\_\_



PATENT & TRADEMARK OFFICE

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3. That to the best of our knowledge and belief:  
The invention was not made or conceived in the course of, or in connection with, or under the terms of any contract, subcontract or arrangement entered into with or for the benefit of the United States Atomic Energy Commission or its successors: Energy Research and Development Administration or the Department of Energy.  
AND  
The invention was not made (conceived or first actually reduced to practice) under nor is there any relationship of the invention to the performance of any work under any contract of the National Aeronautics and Space Administration.
4. The undersigned inventor(s) declare further that all statements made herein of his or her (their) own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements

are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Inventor's Name: Sheena M. Loosmore

Inventor's Signature: \_\_\_\_\_

Post Office Address: 70 Crawford Rose Drive, Aurora, Ontario, Canada, L4G 4R4

Date: \_\_\_\_\_

Inventor's Name: Robin E. Harkness

Inventor's Signature: \_\_\_\_\_

Post Office Address: Apt. #1706, 640 Sheppard Avenue East, Willowdale, Ontario, Canada M2K 1B8

Date: \_\_\_\_\_

Inventor's Name: Anthony B. Schryvers

Inventor's Signature: \_\_\_\_\_

Post Office Address: 39 Edforth Road, N.W., Calgary, Alberta, Canada, T3A 3V8

Date: \_\_\_\_\_

Inventor's Name: Pele Chong

Inventor's Signature: \_\_\_\_\_

Post Office Address: 32 Estoril Street, Richmond Hill, Ontario, Canada, L4C 0B8

Date: \_\_\_\_\_

Inventor's Name: Scott D. Gray-Owen

Inventor's Signature: \_\_\_\_\_

Post Office Address: 2210-142 Silvergrove Drive N.W., Calgary, Alberta, Canada, T3B

5H4

Date: June 3, 2002

Inventor's Name: Yan-Ping Yang

Inventor's Signature: \_\_\_\_\_

Post Office Address: 120 Torresdale Avenue, Willowdale, Ontario, Canada, M2R 3N7

Date: \_\_\_\_\_

Inventor's Name: Andrew D. Murdin

Inventor's Signature: \_\_\_\_\_

Post Office Address: 146 Rhodes Circle, Newmarket, Ontario, Canada, L3X 1V2

Date: \_\_\_\_\_

Inventor's Name: Michel H. Klein

Inventor's Signature: \_\_\_\_\_

Post Office Address: 54 Strathgowan Avenue, Toronto, Ontario, Canada, M4N 1B9

Date: \_\_\_\_\_



THE UNITED STATES PATENT AND TRADEMARKS OFFICE

In re patent application

Serial No. : 10/043,344

Filed : January 14, 2002

Applicant : Sheena M. Loosmore, et al.

Title : TRANSFERRIN RECEPTOR GENES

Docket No. : 1038-1221 MIS:sd

RECEIVED  
DEC 30 2003  
LICENSING & REVIEW

May 28, 2002

DECLARATION

Sir:

We, Sheena M LOOSMORE.; Robin E. HARKNESS; Anthony B. SCHRYVERS; Pele CHONG; Scott D. GREY-OWEN; Yan-Ping YANG; Andrew D. MURDIN; and Michel H. KLEIN.

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Date: \_\_\_\_\_

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Date: \_\_\_\_\_



In re patent application

Serial No. : 10/043,344  
Filed : January 14, 2002  
Applicant : Sheena M. Loosmore, et al.  
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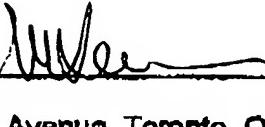
Inventor's Signature: \_\_\_\_\_

Post Office Address: 146 Rhodes Circle, Newmarket, Ontario, Canada, L3X 1V2

Date: \_\_\_\_\_

- 5 -

Inventor's Name: Michel H. Klein

Inventor's Signature: 

Post Office Address: 54 Strathgowan Avenue, Toronto, Ontario, Canada, M4N 1B9

Date: June 5, 2002



IN THE UNITED STATES PATENT AND TRADEMARKS OFFICE

In re patent application

Serial No. : 10/043,344

Filed : January 14, 2002

Applicant : Sheena M. Loosmore, et al.

Title : TRANSFERRIN RECEPTOR GENES

Docket No. : 1038-1221 MIS:sd

RECEIVED  
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LICENSING & REVIEW

May 28, 2002

DECLARATION

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Inventor's Name: Sheena M. Loosmore

Inventor's Signature: Sheena M. Loosmore

Post Office Address: 70 Crawford Rose Drive, Aurora, Ontario, Canada, L4G 4R4

Date: May 31, 2002

Inventor's Name: Robin E. Harkness

Inventor's Signature: \_\_\_\_\_

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Date: \_\_\_\_\_

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5H4

Date: \_\_\_\_\_

Inventor's Name: Yan-Ping Yang

Inventor's Signature: \_\_\_\_\_

Post Office Address: 120 Torresdale Avenue, Willowdale, Ontario, Canada, M2R 3N7

Date: June 3. 2002

Inventor's Name: Andrew D. Murdin

Inventor's Signature: \_\_\_\_\_

Post Office Address: ~~146 Rhodes Circle, Newmarket, Ontario, Canada, L3X 1V2~~

~~11 Forest Hill Drive, Richmond Hill, Ontario, Canada,~~ L4B 3C2  
Date: \_\_\_\_\_

3<sup>rd</sup> June 2002.

Inventor's Name: Michel H. Klein

Inventor's Signature: \_\_\_\_\_

Post Office Address: 54 Strathgowan Avenue, Toronto, Ontario, Canada, M4N 1B9

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THE UNITED STATES PATENT AND TRADEMARKS OFFICE

In re patent application

Serial No. : 10/043,344  
Filed : January 14, 2002  
Applicant : Sheena M. Loosmore, et al.  
Title : TRANSFERRIN RECEPTOR GENES  
Docket No. : 1038-1221 MIS:sd

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Inventor's Signature: \_\_\_\_\_

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Date: \_\_\_\_\_

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Inventor's Name: Andrew D. Murdin on behalf of Pele Chong

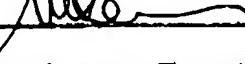
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Inventor's Name: Michel H. Klein on behalf of Pele Chong

Inventor's Signature: 

Post Office Address: 54 Strathgowan Avenue, Toronto, Ontario, Canada, M4N 1B9

Date: June 5, 2002